

IN RE APPLICATION OF: Mitsuo WADA, et al.

SERIAL NO: 10/591,767

GAU: 1756

FILED: September 1, 2006

EXAMINER:

FOR: PHTHALOCYANINE COMPOSITION, AND PHOTOCONDUCTIVE MATERIAL, ELECTROPHOTOGRAPHIC
PHOTORECEPTOR, ELECTROPHOTOGRAPHIC PHOTORECEPTOR CARTRIDGE, AND IMAGE-FORMING
APPARATUS EACH EMPLOYING THE COMPOSITION

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Obion

Norman F. Obregon

Ma Bell

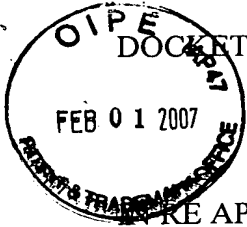
Paul J. Killos

Registration No. 58.014

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



DOCKET NO.: 292214US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE APPLICATION OF:

Mitsuo WADA, et al.

SERIAL NO: 10/591,767

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FOR: PHTHALOCYANINE COMPOSITION, AND PHOTOCONDUCTIVE
MATERIAL, ELECTROPHOTOGRAPHIC PHOTORECEPTOR,
ELECTROPHOTOGRAPHIC PHOTORECEPTOR CARTRIDGE, AND
IMAGE-FORMING APPARATUS EACH EMPLOYING THE COMPOSITION

STATEMENT OF RELEVANCY

References AJ-AM and AR-AV on Form PTO-1449 are cited in the specification.

FEB 01 2007

SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

292214US0PCT

SERIAL NO.

10/591,767

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Mitsuo WADA, et al.

FILING DATE

September 1, 2006

GROUP

1756

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|------------|-------------------------|-------|--------------|-------------------------------|
| | AA | 5,888,690 | 03/30/1999 | Kazuo YOSHINAGA, et al. | | | |
| | AB | 5,879,847 | 03/09/1999 | Kazuo YOSHINAGA, et al. | | | |
| | AC | 5,183,886 | 02/02/1993 | Iwao TAKAGISHI | | | |
| | AD | 5,272,264 | 12/21/1993 | Iwao TAKAGISHI | | | |
| | AE | 5,463,044 | 10/31/1995 | Katsumi NUKADA, et al. | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|------------|---|-------------|----|
| | | | | | YES | NO |
| | AJ | JP 9-190004 | 07/22/1997 | JAPAN (corr. US 5,888,690 and US 5,879,847) | | X |
| | AK | JP 2-308863 | 12/21/1990 | JAPAN (corr. US 5,183,886 and US 5,272,264) | | X |
| | AL | JP 6-73303 | 03/15/1994 | JAPAN (corr. US 5,463,044) | | X |
| | AM | JP 2002-80432 | 03/19/2002 | JAPAN (with English Abstract) | | X |
| | AN | | | | | |
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| | AP | | | | | |
| | AQ | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|---|
| | AR | Yasuhiro ODA, et al., "Near-Infrared Sensitive Photoreceptors Incorporating a New Polymorph of Oxotitanium Phthalocyanine", Electrophotography: the society Journal, Vol. 29, No. 3, April 6, 1990, Pages 250-258 (with English Abstract) |
| | AS | Atsushi KAKUTA, et al., "New Organic Photoconductor for Diode Laser Use", Electrophotography: the society Journal, Vol. 24, No. 2, January 21, 1985, Pages 102-107 (with English Abstract) |
| | AT | Toshio ENOKIDA, et al., "Characteristics of τ -Form Metal-free Phthalocyanine and Its "Improvement for Organic Photoreceptor Use" Journal of Imaging Science, Vol. 35, No. 4, 1991, Pages 235-239 |
| | AU | Atsushi KAKUTA, et al., "A New Phthalocyanine Photoreceptor for Diode Laser Printers", Journal of Imaging Technology, Vol. 11, 1985, Pages 7-11 |
| | AV | "ELECTROPHOTOGRAPHIC CHARACTERISTIC EVALUATION DEVICE: THE SEQUEL TO BASES AND APPLICATIONS OF ELECTROPHOTOGRAPHIC TECHNOLOGY" INSTITUTION OF ELECTROPHOTOGRAPHY EDITING, Pages 404-405 (with Partial English Translation) |

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.